



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

REISSUE  
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IN RE: Reissue Application of Dillis V. Allen  
and Scott R. Longueil  
INVENTORS: Dillis V. Allen and Scott R. Longueil  
PATENT NO: 5,938,541  
ISSUED: August 17, 1999  
SERIAL NO: 08/960,966  
FILED: 10-30-97  
FOR: GOLF CLUB HEAD WITH SHORTENED HOSEL AND FERRULE

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TECHNOLOGY CENTER R3700

REISSUE  
SERIAL NO: 09/820,568  
FILED: March 29, 2001

U.S. Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR 1.97(b)(3) AND  
37 CFR 1.98(a)(2)

Honorable Sir:

This is an Information Disclosure Statement under  
the provisions of 37 CFR 1.97(b)(3) and 37 CFR 1.98(a)(2).

Under the provisions of 37 CFR 1.98(1), the fol-  
lowing is a list of all patents, publications, or other in-  
formation submitted for consideration by the Patent Office.

Inventor	U.S. Patent No.	Issue Date
Braid, et al.	713,845	11-18-02
Kilgour	947,822	2-01-10
Hardman	1,202,383	10-24-16
Lard	1,249,127	12-04-17
Carpenter	1,529,009	3-10-25
Heller	1,551,563	9-01-25
Maas	1,553,867	9-15-25
Tyler	1,574,213	2-23-26
Kraeuter	1,589,707	6-22-26
Lamb, et al.	1,897,264	2-14-33
Root	1,994,149	3-12-35
Wettlaufer	2,067,556	1-12-37
Wettlaufer	2,219,670	10-29-40
Schaffer	2,460,435	2-01-49
Wetty	2,880,002	3-31-59

Taylor	3,199,872	8-10-65
Risher	3,572,709	3-30-71
Chapman	3,595,582	7-27-71
Mills	3,640,534	2-08-72
Savage	3,682,516	8-08-72
Mills	3,759,517	9-18-73
Mills	3,810,621	5-14-74
Mills	3,819,181	6-25-74
Inoue	4,697,813	10-06-87
Kobayashi	4,754,969	7-05-88
Dippel	4,872,684	10-10-89
Helmstetter	5,042,806	8-27-91
Okumoto, et al.	5,149,091	9-22-92
Schroder	5,197,733	3-30-93
Aizawa	5,242,168	9-07-93
Lu	5,280,923	1-25-94
Green, Jr.	5,335,909	8-09-94
Tsuchiya, et al.	5,346,217	9-13-94
Helmstetter	5,351,958	10-04-94
Kobayashi	5,429,357	7-04-95
Gondeck	5,439,218	8-08-95
Bingman	5,452,890	9-26-95
Kobayashi	5,485,998	1-23-96
Endo	5,501,459	3-26-96
Dekura	5,538,246	7-23-96
Endo, et al.	5,556,097	9-17-96
Take, et al.	5,575,723	11-19-96
Nagamoto	5,611,740	3-18-97
Hlinka, et al.	5,632,695	5-27-97
Aizawa, et al.	5,658,207	8-19-97
Antonious	5,762,567	6-09-98
Dekura	5,766,089	6-16-98
Butler	5,797,806	8-25-98
Jackson	5,839,973	11-24-98
Wood, et al.	5,851,155	12-22-98
Honma	5,855,526	1-05-99
Allen	5,873,791	2-23-99
Kubica	5,906,549	5-25-99
Cook	5,941,782	8-24-99
Noble, et al.	5,954,596	9-21-99
Hansberger	6,001,027	12-14-99
Kosugi, et al.	6,106,412	8-22-00

# **Inventor**

## **U.S. Design Patent No.**

## **Issue Date**

Challis	D63,284	11-20-23
Jakosky	D137,283	2-15-44
Mengel	D191,314	9-12-61
Risher	D213,382	2-25-69
Risher	D219,156	11-10-70

Mills	D225,419	12-12-72
Monteleone	D247,791	4-25-78
Simmons	D271,783	12-13-83
Doman	D276,452	11-20-84
Smith	D298,270	10-25-88
Florian	D303,559	9-19-89
Warner	D314,802	2-19-91
Sillers	D337,803	7-27-93
Luks	D354,785	1-24-95
Goodrich	D364,665	11-28-95
Ritchie, et al.	D371,407	7-02-96
Arnold	D380,799	7-08-97
Kubica, et al.	D400,625	11-03-98
Kubica, et al.	D400,627	11-03-98
Burrows	D401,299	11-17-98
Burrows	D401,650	11-24-98
Burrows	D405,488	2-09-99
Kubica, et al.	D405,489	2-09-99
Kubica, et al.	D405,492	2-09-99
Nagahama, et al.	D417,478	12-07-99
Takaoka, et al.	D422,327	4-04-00

Country	Reg. No./ Application No.	Publication Date
Australia	1379/31	10-15-1931
Japan	4-15066	1-20-1992
Japan	5-184696	7-27-1993
Japan	6-142235	5-14-1994
Japan	6-327796	11-29-1994
U.K.	6385	2-11-1893
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U.K.	14,169	8-13-1898
U.K.	420,332	11-29-1934
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U.K.	2 207 358A	2-01-1989
U.K.	2 225 726A	6-13-1990
U.K.	2 230 459A	10-24-1990
U.K.	2 267 221A	1-23-1993

#### **Publications**

1. TREND Precision Golf 1993 Catalog(V02115-V02126
2. Callaway advertisement for Big Bertha Driver (V03307)
3. UT Golf Catalog (V06020-V06021, V06081-V06082)
4. 1994 advertisement for UNO #8914 Driver(V07552)
5. Tour Golf 1995 Catalog-Model 4045 Tour Series EXL-645  
(V07561-V07562, V07576)
6. Action Golf Inc. - Golf Component Catalog 1995  
(V06679-V06694, V06727)M160J, M768J, M1007J

7. Action Golf 1994 Component Catalog(V06917-V06954)  
M160J, M768J, M101J
8. Golf for Women, February, 1996(V07516-V07522)  
Kodiak Deep Face Titanium Wood
9. Action Golf Catalog page 47 (V07145)
10. Jeffery B. Ellis, The Clubmaker's Art at 153,306 (1997)
11. Advertisement entitled "Improve Your Game" showing  
Hardright Driver with aluminum reenforcement tube in  
The Golfer's Magazine at 6 (June 1914)
12. Advertisement entitled "Improve Your Game" showing  
Hardright Driver with brass reenforcement tube in  
The American Golfer (June 1914)

Under the provisions of 37 CFR 1.98(a)(2), a legible copy of each United States and foreign patents cited is attached hereto.

Under the provisions of 37 CFR 1.98(a)(2)(ii), a legible copy of each publication or that portion which cause it to be listed, if such is listed, is also attached hereto.

Under the provisions of 37 CFR 1.98(a)(3), the following is a concise explanation of the relevancy, as it is presently understood, by the individual designated in Section 1.56(c) most knowledgeable about the content of the information, of each patent, publication, or other information listed that is not in the English language. The concise explanation may be either separate from the specification or incorporated therein.

This application is a Reissue of U.S. Patent No. 5,938,541, which along with U.S. Patent No. 5,888,149, and Design Patent No. Des. 399,281, were originally the subject of a patent infringement complaint in Vardon Golf Company,

*Inc. v. Karsten Manufacturing Corporation*, No. 99 C 6934, U.S. District Court for the Northern District of Illinois, Eastern Division. Most of the patents and publications cited above were cited as prior art in this *Vardon v. Karsten* case, and the remaining were found in a search conducted by the applicant in the Fall of 1997, which was a general state-of-the-art search.

Under the provisions of 37 CFR 1.98(3)(i), the Patent Owner states that the relevance of each of these documents is that they show golf club heads with ferrules surrounding the hosel at the lower end of the shaft mounted in the hosel. Since most of these documents were cited by *Karsten's* attorneys in the above case, and they have not, as yet, explained what they believe to be the relevance of any of these references, the Patent Owner cannot provide the Patent Office with an explanation of the relevance of each as presently understood by the Patent Owner.

Furthermore, the Patent Owner states in further explanation under 37 CFR 1.98(3)(i), that none of the documents listed above is believed by the Patent Owner more pertinent to the invention than one or more of the following patents and publications cited during the prosecution of U.S. Patent Nos. 5,938,541 and 5,938,541.

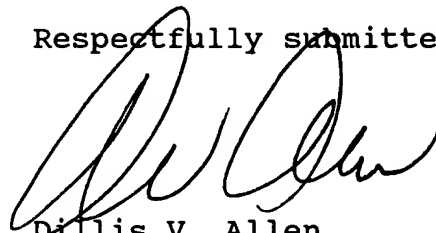
Inventor	U.S. Patent No.	Issue Date
Flint	1,480,056	1-8-24
Pritchard	3,170,691	2-23-65
Taylor	3,199,872	8-10-65
Yamada	4,417,731	11-29-83
Lo	5,273,280	12-28-93
Green, Jr.	5,335,909	8-09-94
Dekura	5,538,246	7-23-96
Nagamoto	5,611,740	3-18-97
Tsuchida	5,624,330	4-29-97

#### Foreign Patents

2 225 726 United Kingdom  
Date of Publication: 6-13-90

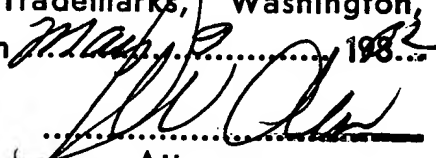
Under the provisions of 37 CFR 1.98(3)(2), the Patent Owner does not have any translations of any non-English language documents cited above or portions thereof.

Respectfully submitted,

  
Dillis V. Allen  
Reg. No. 22,460  
Attorney for Assignee

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1080 Nerge Road - Suite 205  
Elk Grove Village, IL 60007  
847/895-9100

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Attorney

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FILED: March 29, 2001

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and Trademarks  
Washington, D.C. 20231

## TRANSMITTAL LETTER

Honorable Sir:

Patent Owner's counsel transmits herewith his  
check in the amount of \$180.00 as the fee for filing the at-  
tached Information Disclosure Statement.

Respectfully submitted,

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Attorney for Assignee  
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Attorney

May 9, 2002  
Date